

THIN FILM PRODUCT SOLUTIONS FOR OPTICAL APPLICATIONS

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WORLD LEADER IN MATERIAL TECHNOLOGY

Umicore is a materials technology group focused on application areas where know-how in materials science, chemistry and metallurgy offers a competitive advantage, be it for everyday products or new products or for new technological developments. Umicore's primary objective is the creation of sustainable value for our customers and to design, produce and recycle materials in a mannerthat meet the aspiration of "Materials for a better life". Umicore generates about 50% of its revenues technologies, such as automotive catalysts, materials for rechargeable batteries or photovoltaic applications, fuel cells batteries or photovoltaic applications, fuel cells and recycling. In addition, 80% of the budget for research and development is dedicated to this area. The Umicore Group ofers production facilities and consulting services on all continents.

OUR GROUP STRUCTURE



ABOUT THIN FILM PRODUCTS AG

We, as Umicore Thin Film Products AG, are specialists for development, manufacturing and supply of high performance evaporation material, sputter targets and accessories for microelectronic, optoelectronic, optical thin Film applications and wear protection. Our customers benefit from our more than 70 years of experience in development, manufacturing and customization of coating materials. With this unique historical background and our operational excellence, Umicore Thin Film Products makes a difference when it comes to your coating applications.

Our products enable the implementation and further development of high-technology applications such as EUV/DUV lithography, LIDAR systems, AR/VR glasses and high-power laser systems following our mission: "Materials for a better Life"

OUR UMICORE EXCELLENCE PROMISE TO OUR CUSTOMERS

DIRECT EXCHANGE WITH OUR LOCAL REPRESENTATIVES



"CUSTOMER SUCCESS...

OUR PROMISE TO OUR CUSTOMERS

PERSONAL CUSTOMER CARE

- Defined representative
- Local representative
- Local business sites

PROFESSIONAL TECHNICAL SUPPORT

- Technical sales team
- Technical competence Center Coating
- Technical competence Center Analytics

INNOVATIVE COATING PRODUCTS

- Low defect coating materials
- Coating material mixtures
- Precious metal business model

HIGH QUALITY STANDARDS

- QM following IATF characteristics
- · ISO 9001 Quality Management System
- ISO 14001 Environmental Management
- · ISO 45001 OHS Management System

SUSTAINABLE PRODUCTION SOLUTIONS

- · Quality products result in increased yield
- · Material recycling model
- Production focused on renewable energy

YOUR BENEFITS

SMOOTH OPERATION

- Personal face-to-face contact
- Easy & fast communication
- Local handling of goods

TOP NOTCH PROCESSES

- Guidance & consulting
- Material & process support
- In-depth troubleshooting

COMPETITIVE ADVANTAGES

- Higher production yield and LIDT
- · Increased environmental stability
- Easy transaction incl. weight account

SECURE PRODUCTION AND OPERATION

- Stable and reliable supply chain
- Continuous quality improvement
- · Continuous sustainable improvement
- Continuous OHS improvement

TOP NOTCH PROCESSES

- Reduced handling effort
- Reduction of waste
- Minimized CO₂ footprint

...IS OUR SUCCESS"

PRODUCTS | PRODUCT LINES

SPUTTER TARGETS

- Cleanroom packagingTarget production
- Backing plate productionIndium bond shop
- Ultrasonic quality inspection (100%)

EVAPORATION MATERIAL

- Fluorid-production-system
- Tablet production system
- Vacuum sintering f
 úrnaces
- Vacuum melting furnaces

ACCESSORIES

- Boat manufacturing
- Liner manufacturing

YOUR BENEFITS

TAILORMADE PRODUCTS

- Minimal particle contamination
- Optimized microstructure
- Perfect sealing and heat transfer
- Professional bonding & debonding
- Highest process stability of bonding

PERFECT COATING RESULTS

- · Lowest oxygen content in fluoride products
- Reproducibility of tablet characteristics
- Préconditioning of coating material
- Pre-melting of (mixed) coating material

RELIABLE PROCESS REPRODUCIBILITY

- High quality craftsmanshipReproducibility of liner characteristics





OPTICS & PHOTONICS

UV-OPTICS PORTFOLIO

Oxides: $SiO_2 | AI_2O_3 | HfO_2 | ...$

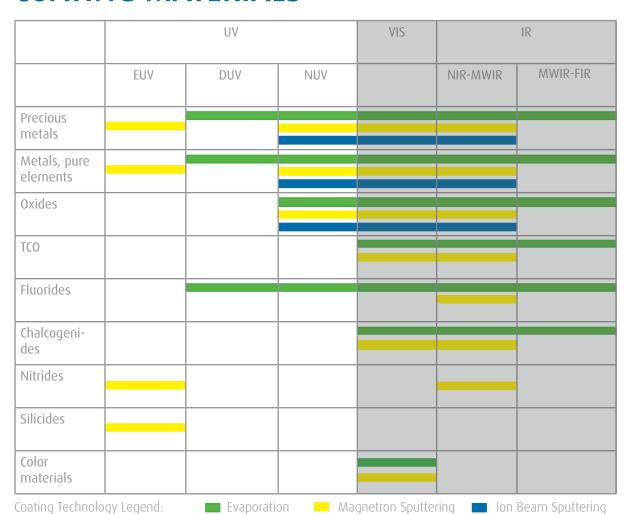
Fluorides: LaF₃ | GdF₃ | MgF₂ | AlF₃ | ...

Metals: Ru | Mo | Au | Ag | Al | Mg | ...

Element Materials: Si

(other materials on request)

COATING MATERIALS



UMICORE'S KEY MATERIALS FOR LOW DEFECT UV-COATING APPLICATIONS









Low oxygen DUV fluorides LaF₃, GdF₃, MgF₂, AlF₃, ...

Based on state-of-the art proprietary fluorination processes, Umicore supplies highpurity, low oxygen & carbon coating materials for low-loss, low-defect coatings used in photolithography & metrology.

Wide range of high-performance, low-loss coating materials

Originating from our history and more than 70 years of experience, Umicore provides the full range of wide-bandgap oxides and fluorides for high-performance and low-loss DUV & NUV applications.

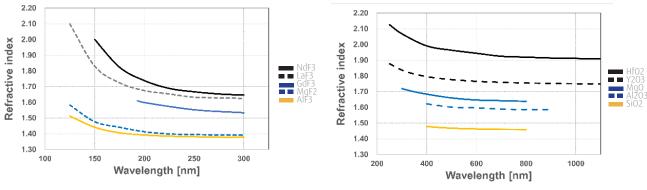
Hf & HfO, products for low-loss, high-power laser applications

Using well-proven in-house combinations of sintering & melting vacuum technology and based on its long-term supply chain for raw materials, Umicore is your reliable supplier for high-purity Hf and $\mathrm{HfO_2}$ products for low-loss, high-power laser applications.

Reliable partner for metal & element solutions Ru, Mo, Si, Au, Ag, Al, Mg, ...

Based on our experience in the field of high-purity metals & alloys, Umicore ensures a stable supply of tailored, high quality products for your specific high-reflecting & absorbing application. Combined with our professional and transparent precious metal management we are able to offer an attractive package.

PROPERTIES OF FILMS DEPOSITED FROM UMICORE MATERIALS



Typical values of the refractive index as a function of wavelength for selected Umicore UV materials

APPLICATIONS FOR UV-OPTICS



Microelectronics

- ♦ Photolithography
- ♦ Metrology



High-Power Laser

- ♦ Material analysis
- ♦ Materials processing



Lighting / Illumination

- ♦ IFD
- ♦ Curing



Life Science & Medical

- ♦ Fluorescence microscopy
- ♦ Flow cytometry
- DNA & RNA analysis & engineering
- ♦ Food & drug analysis



OPTICS & PHOTONICS VIS-OPTICS PORTFOLIO

Oxides: SiO₂ | Al₂O₃ | Y₂O₃ | HfO₂ | Ta₂O₅ | Nb₂O₅ | TiO | TiO₂

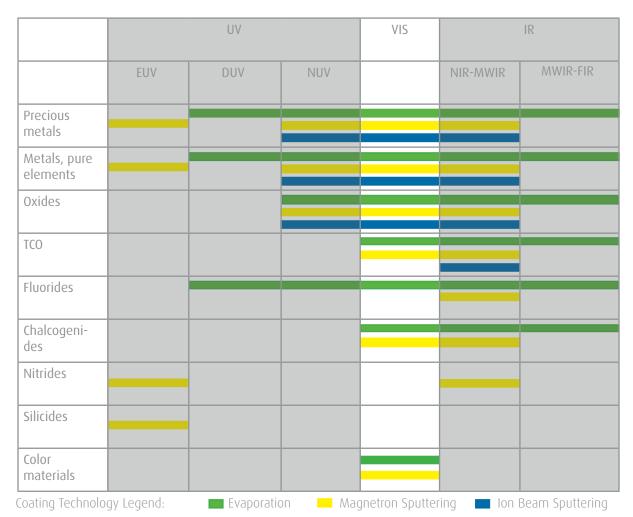
 $Ti_2O_3 \mid Ti_3O_5 \mid ... \mid IDA \mid DRALO \mid LATI \mid RENA \mid ...$

Fluorides: $MgF_2 | YF_3 | CeF_3 | ...$ Metals: Au | Ag | AgCu | Al ...

Chalcogenides: ZnS | ...

(other materials on request)

COATING MATERIALS



UMICORE'S KEY MATERIALS FOR LOW-DFFFCT VIS-COATING APPLICATIONS









Tailormade high-performance Si & SiO, grades

To address the current most stringent & upcoming requirements with regards to e.g. low-defect, low-loss & high-power damage resistance, Umicore has developed a number of new Si & SiO₂ solutions for evaporation and sputtering applications using SiO2 films.

Tantalum & Hafnium oxides

For superior coating performance Ta₂O_c and HfO₂ derived products have been tuned to lowest absorption, scatter & defect generation & highest LIDT. This was achieved by improved purity & density, material mixture & stoichiometry as well, as product geometry.

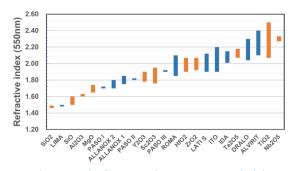
Hf, Ta, Nb, Si, ... targets for various sputter platforms

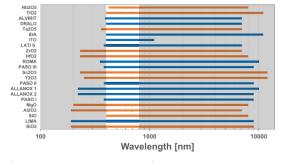
Due to our long history of servicing the optics & optoelectronics industry, coating companies benefit from a wide portfolio of targets & related solutions for the most common sputter technologies and geometries e.g. MSP, HELIOS, SPECTOR, NAVIGATOR.

Mixed oxide materials for special applications

For wide-angle & polarization dependent requirements, as well as for coating on plastics and other sensitive components, Umicore provides a wide range of tailored oxide compositions with optimal density, stoichiometry & geometry. This enables highly stable deposition processes leading to congruent, low-defect, homogenous coating results.

PROPERTIES OF FILMS DEPOSITED FROM UMICORE MATERIALS





Typical ranges of refractive index at 550 nm and of the transmittance for common Umicore materials

Pure oxides Mixed oxides

APPLICATIONS FOR VIS-OPTICS



Imaging & Sensing







- Endoscopy
- Cinema projection



Office



Industrial & Analytical

- Position measurement and alignment
- Machine vision
- Photospectrometry



ecurity

- Anticounterfeiting
- Surveillance and monitoring



Lighting / Illumination

- Lamp, LED & headlight reflectors
- Medical lighting



OPTICS & PHOTONICS IR-OPTICS PORTFOLIO

Oxides: $\operatorname{SiO}_{2} \mid \operatorname{Al}_{2} \operatorname{O}_{3} \mid \operatorname{Y}_{2} \operatorname{O}_{3} \mid \operatorname{SiO} \mid \operatorname{HfO}_{2} \mid \operatorname{Ta}_{2} \operatorname{O}_{5} \mid \dots$

Fluorides: $CeF_3 | YbF_3 | YF_3 | ...$

Chalcogenides: ZnSe | ZnS

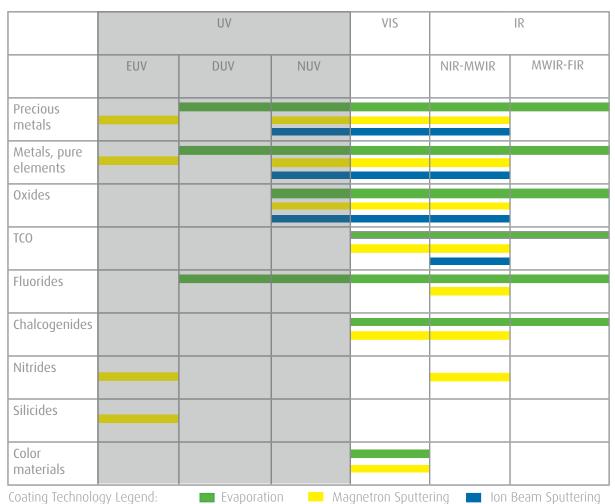
Metals: Ag | Au | Al | ...

Element materials: Ge | Si

Mixtures: IR-F625 | IR-F900 | Y-Ca-fluoride | MIRA | ...

(other materials on request)

COATING MATERIALS



UMICORE'S KEY MATERIALS FOR LOW-DEFECT IR-COATING APPLICATIONS









LWIR and multispectral pure & mixed fluorides

YbF3, YF3, CeF3 & proprietary mixtures like IR-F625 and IR-F900 from a refined in-house production process match highest requirements with respect to stable & reproducible evaporation processes. Furthermore, Umicore offers tailored material alternatives for ThF4-based applications.

Ge, Si, ZnS and ZnSe

Umicore provides H- and M-index materials with excellent purity for high-performance IR applications. Umicore ZnS grades cover the core of the IR spectral band as well as an extended range down to the visible spectrum. Along with ZnSe they address high-power laser coatings as well.

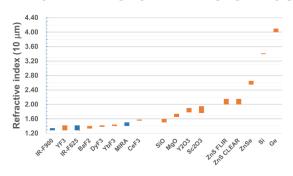
High-quality metal & oxide sputter targets

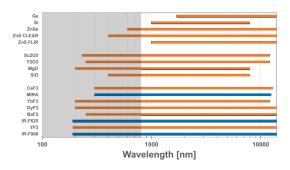
Based on our manufacturing & application competencies Umicore provides a wide range of targets for applications ranging from the LWIR to the NUV spectral range. In combination with our inhouse target bondshop, small series up to high throughput coating production scenarios can be addressed.

Wide range of high-quality metal & oxide evaporation materials

High-purity metals & alloys as well as substoichiometric and oxygen-saturated material solutions are available for premium evaporation applications. In addition, Umicore offers precious metal products including recycling services.

PROPERTIES OF FILMS DEPOSITED FROM UMICORE MATERIALS





Typical ranges of refractive index at 10'000 nm and transmittance for selected Umicore LWIR materials



APPLICATIONS

FOR IR-OPTICS



Optical communication

- Thin film type of DWDM, CWDM, GF filters
- Waveguide type of telecom NIR multiplexers
- ♦ Satellite communication



Geo-Mapping & 3D-Sensing

- ♦ Multispectral imaging
- ♦ LIDAR for PDA, AR/MR



Material processing & gas sensing

- ♦ Solid state and CO₂ lasers for
- ♦ material processing
- ♦ Analysis for solid material and gases



Defense & Security

- ♦ Directed energy, ranging and tracking
- ♦ Reflected or thermal IR cameras and
- thermography devices



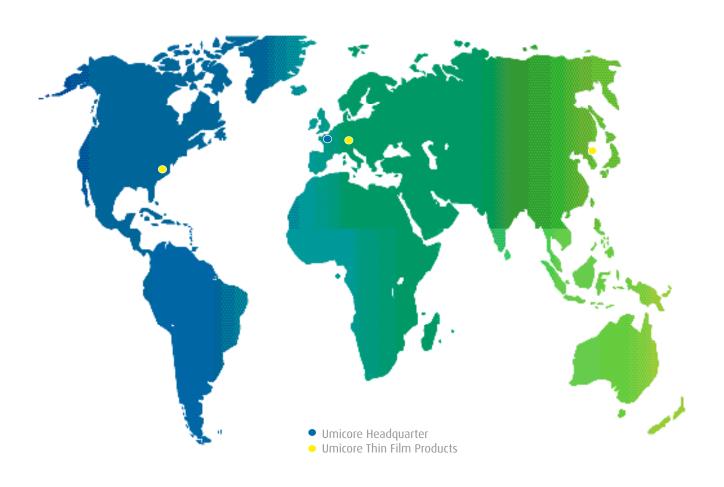
Life Science & Medical

Surgery and Diagnostics

GLOSSAR

| Term | Definition |
|----------|---|
| AR | Augmented Reality |
| CWDM | Coarse Wavelength-Division Multiplexing |
| DNA | Deoxyribonucleic Acid |
| DUV | Deep Ultraviolet |
| DWDM | Dense Wavelength-Division Multiplexing |
| EUV | Extreme Ultraviolet |
| IR | Infrared |
| LED | Light-Emitting Diodes |
| LIDAR | Light Detection and Ranging |
| LIDT | Laser Induced Damage Threshold |
| MWIR-FIR | Mid-Wavelength Infrared-Far Infrared |
| NIR | Near-Infrared |
| NUV | Near-Ultraviolet |
| OHS | Occupational Health and Safety |
| PDA | Advanced Photonics Design Automation |
| RNA | Ribonucleic Acid |
| TCO | Transparent Conductive Oxides |
| UV | Ultraviolet |
| VIS | Visible |
| VR | Virtual Reality |

UMICORE THIN FILM PRODUCTS AG NETWORK FOR GLOBAL SUPPLY



Find more information here: www.tfp.umicore.com

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