

## Strengths and Improvement Areas

Ø Environment Weight • ● ●
Strengths
Policies
Strengths
Endorsement of the United Nations Global Compact (UNGC)
Quantitative objectives set on air pollution
Environmental policy on air pollution
Quantitative objectives set on water
Quantitative objectives set on energy consumption & GHGs
Environmental policy on materials, chemicals & waste
Environmental policy on water
Environmental policy on energy consumption & GHGs
Endorsement of the Science Based Targets initiative - Targets Set
Exceptional policy on major environmental issues
Actions
Strengths
Environmental management system certification covers more than 75% of the assessed scope
Supporting documents show an exceptional level of coverage of environmental actions or certification throughout company operations
Water risk assessments performed
External sustainability audit from a site of Group: no non-compliance found on environment
Other actions to mitigate air pollution
Use of recovered input materials
Reduction of hazardous substance generation or toxicity
Specialized treatment and safe disposal of hazardous substances
Environmental emergency measures in place
Reduction of material consumption through process optimization
Internal sorting & disposal of waste according to waste streams
Actions or training to raise employee awareness on waste reduction & sorting
Reduction of internal wastes through material reuse, recovery or repurpose

Actions to prevent emissions of atmospheric pollutants and other environmental nuisances (e.g. noise, odor, vibration, road and light)
Wastewater quality assessment
On-site or off-site wastewater treatment facilities
Fuel switch to achieve higher energy efficiency and/or lower carbon emission intensity
Training of employees on energy conservation/climate actions
Use of carbon capture and storage technology (CCS)
Purchase and/or generation of renewable energy
Improvement of energy efficiency through technology or equipment upgrades
Technologies or practices to recycle or reuse water
Energy and/or carbon audit
Implementation of a rainwater harvesting system
Company specific actions to avoid road congestions
ISO 14001 certified
Use of waste heat recovery system(s) or combined heat and power unit(s)
Periodical analysis on the volumes of major air pollutants or ambient air quality monitoring (testing levels of PM, NOx, SO2, VOC or heavy metals)
Actions for labeling, storing, handling and transporting hazardous substances
Control of wastewater generated in finishing operations such as quenching and deburring
Work processes or technologies implemented to mitigate emissions of dust and/or particulate matter
Results
Strengths
Total gross Scope 2 reporting value confirmed in supporting documentation
Total gross Scope 1 reporting value confirmed in supporting documentation
Reporting on total gross Scope 2 GHG emissions (market or location based)
Reporting on total gross Scope 1 GHG emissions
Reporting on total weight of air pollutants
Total gross Scope 3 downstream GHG emissions value confirmed in supporting documentation
Reporting on total gross Scope 3 downstream GHG emissions
Reporting in accordance with GRI Universal Standards
Reporting on total weight of waste recovered

Total gross Scope	3 GHG emissions reporting value confirmed in supporting documentation
Reporting on total	amount of renewable energy consumed
Reporting on total	water consumption
Reporting on total	weight of non-hazardous waste
Reporting on total	weight of hazardous waste
Reporting on total	gross Scope 3 GHG emissions
Materiality analys	is in sustainability reporting
External assuranc	e or verification of sustainability reporting
Company commu	nicates progress towards the Sustainable Development Goals (SDGs)
Company reports	to CDP
Exceptional report	ting on environmental issues
Reporting on total	energy consumption
Improvement Ar	eas
Results	
Priority	Improvement Areas
	The 360° Watch has identified at least one significant controversy, fine or penalty regarding environmental issues in the last five years (see news with red downward arrow in the 360° Watch section).
Medium	The 360° Watch has identified at least one significant adverse report regarding air pollution
Low	Declares some sites/operations located in or near biodiversity-sensitive areas, and the activities negatively affect those areas
Low	No information on reporting on total amount of water recycled and reused

က္ပြို Labor & Human Rights	Weight 🛛 💿 🔵
Strengths	
Policies	
Strengths	
Endorsement of the United Nations Global Compact (UNGC)	
Quantitative objectives set on diversity, equity & inclusion	
Labor & human rights policy on diversity, equity & inclusion	
Labor & human rights policy on child labor, forced labor & human trafficking	
Labor & human rights policy on career management & training	

Labor & human rights policy on social dialogue
Labor & human rights policy on working conditions
Labor & human rights policy on employee health & safety
Comprehensive policy on a majority of labor or human rights issues
Actions
Strengths
Labor and human rights management system certification covers between 51% and 75% of the assessed scope
Supporting documents show an exceptional level of coverage of labor and human rights actions or certification throughout company operations
Actions in place to ensure health and safety of non-employee workers and other contracted workers on premises
Skills development program tailored to employee needs
The company declares its main operation(s) is located in a region where the right to freedom of association is not restricted by local law/regulation (not verified)
Actions to control radiation exposure
Actions to control hazardous substance exposure
Equipment safety inspections or audits
Complaints procedure in place for employees to report on occupational health and safety issues
Actions to address stress and psychological wellbeing in the workplace
Employee health and safety emergency action plan
Stakeholder consultation with potentially affected groups or NGOs to address child labor, forced labor and/or human trafficking issues
Monitoring of internal controls and effectiveness of actions taken to prevent child labor, forced labor and/or human trafficking
Family Friendly programs (FFPs) implemented (e.g. parental or care leaves, childcare services or allowances)
Women development, mentorship, and/or sponsorship programs in place
Affinity or other support groups for minorities/vulnerable groups
ISO 45001 certified
Communication to all employees of remuneration process (e.g. salary grid, procedure for salary advancement)
Employee satisfaction survey
Bonus scheme related to company performance
Collective bargaining agreement on diversity, discrimination and/or harassment
Flexible organization of work (eg. remote work, flexi-time)
Health care coverage of employees in place

Impact assessments identifying potential child labor, forced labor and/or human trafficking
Grievance mechanism on discrimination and/or harassment issues
Actions to promote wage equality in the workplace
Awareness training regarding diversity, discrimination, and/or harassment
Employee representatives or employee representative body (e.g. works council)
Employee health & safety risk assessment
Actions to prevent discrimination during recruitment phase
Grievance mechanism on child labor, forced labor and/or human trafficking issues
Regular assessment of individual performance
Two-way communication system in place to facilitate employee voice regarding working conditions
Regular employee health check-up
Actions to prevent noise exposure
Actions to promote internal mobility
Actions to promote the inclusion of employees with disabilities
Individual development and career plan for all employees
Training of employees on health and safety risks and best working practices
Results
Strengths
Reporting on percentage of employees from minority and/or vulnerable groups at top management level
Reporting on the percentage of women at top management level
Reporting on ratio of the annual total compensation of the highest paid individual, to the median annual total compensation for all employees
Reporting on number of recordable work-related accidents
Reporting on number of days lost to work-related injuries, fatalities and ill health
Reporting on the percentage of women employed in relation to the whole organization
Reporting in accordance with GRI Universal Standards
Report on percentage of women within the organization's board
Materiality analysis in sustainability reporting
External assurance or verification of sustainability reporting
Company communicates progress towards the Sustainable Development Goals (SDGs)

Comprehensiv	re reporting on labor and human rights issues	
Reporting on number of average training hours per employee		
Improvement	t Areas	
Policies		
Priority	Improvement Areas	
Low	Declares that no commitment or review has been conducted regarding payment of living wage	
Results		
Priority	Improvement Areas	
Medium	The 360° Watch has identified at least one significant controversy, fine or penalty regarding labor and human rights issues in the last five years (see news with red downward arrow in the 360° Watch section).	
Medium	The 360° Watch has identified at least one significant adverse report regarding employee health & safety.	
Medium	External sustainability audit from a site of Group: presence of major or/and critical non-compliances on employee health & safety	

ත්රී Ethics	Weight 🔹 🗨 🜑
Strengths	
Policies	
Strengths	
Endorsement of the United Nations Global Compact (UNGC)	
Policy on fraud	
Policy on conflict of interest	
Disciplinary sanctions to deal with policy violations	
Policy on information security	
Policies on corruption	
Policy on anticompetitive practices	
Dedicated responsibility for ethics issues	
Comprehensive policies on ethics issues	
Actions	
Strengths	
External sustainability audit from a site of Group: no non-compliance found on ethics	
Whistleblower procedure for stakeholders to report information security concerns	
Whistleblower procedure for stakeholders to report anti-competitive practices	
Whistleblower procedure for stakeholders to report corruption and bribery	

Incident response procedure (IRP) to manage breaches of confidential information			
Implementation of a records retention schedule			
Information security risk assessments performed			
Audits of control procedures to prevent information security breaches			
Awareness training to prevent information security breaches			
Anti-competitive practices risk assessments performed			
Corruption risk assessments performed			
Measures to protect third party data from unauthorized access or disclosure			
Measures for gaining stakeholder consent regarding the processing, sharing and retention of confidential information			
Audits of control procedures to prevent corruption			
Awareness training performed to prevent corruption			
Anti-corruption due diligence program on third parties in place			
Specific approval procedure for sensitive transactions (e.g. gifts, travel)			
Audits of control procedures to prevent anticompetitive practices			
Awareness training performed to prevent anticompetitive practices			
ISO 27001 certified (certification of information security management system)			
Results			
Strengths			
Reporting in accordance with GRI Universal Standards			
Materiality analysis in sustainability reporting			
External assurance or verification of sustainability reporting			
Company communicates progress towards the Sustainable Development Goals (SDGs)			
Standard reporting on ethics issues Improvement Areas			
Actions			
Priority Improvement Areas			
High         Supporting documents show a low level of coverage of ethics actions or certification throughout company operations			

Results	
Priority	Improvement Areas
Medium	The 360° Watch has identified at least one significant controversy, fine or penalty regarding ethics issues in the last ten years (see news with red downward arrow in the 360° Watch section).
Medium	The 360° Watch has identified at least one significant adverse report regarding corruption.
	stainable Procurement
<u> </u>	
Strengths	
Policies	
Strengths	of the United Nations Global Compact (UNGC)
	f the Business Social Compliance Initiative (BSCI)
Endorsement o	of specific international or industry sector initiatives, set of principles, charters, frameworks on conflict minerals issues
The London Bu	llion Market Association (Responsible Gold)
Endorsement o	f the Responsible Minerals Initiative (RMI, formerly CFSI)
Policy on confli	ct minerals issues
Comprehensive	e sustainable procurement policies on both social and environmental factors
Actions	
Strengths	
Other actions o	on conflict minerals
Global supplier	diversity program in place
Actions to adva	nce diversity, equity and inclusion in the workforce of suppliers
Worker voice s	urveys or other advanced supplier monitoring practices (e.g. second tier audits)
Supplier sustai	nability code of conduct in place
Procedure to d	isengage with a non responding supplier (after several attempts) on conflict minerals issues (i.e. escalation steps)
Selected suppli	ers required to fill Conflict Minerals Reporting Template (CMRT)/other customized conflict mineral-related questionnaire
Investigation o	r traceability system of the supply chain (smelters, trades, mines) on conflict minerals due diligence (e.g. third party on site audit in place)
Detailed conflic	t minerals risk analysis (per product or purchasing category) across the supply chain (e.g. manufactures)
Sustainable pro	ocurement objectives integrated into buyer performance reviews
Capacity buildin	ng of suppliers on environmental or social issues (e.g. corrective actions, training)
On-site audits o	of suppliers on environmental or social issues

Regular supplie	er assessment (e.g. questionnaire) on environmental or social practices
Training of buy	ers on social and environmental issues within the supply chain
Integration of s	ocial or environmental clauses into supplier contracts
Sustainability ri	isk analysis (i.e. prior to supplier assessments or audits)
Results	
Strengths	
Total gross Sco	pe 3 upstream GHG emissions value confirmed in supporting documentation
Reporting on to	tal gross Scope 3 upstream GHG emissions
Reporting in ac	cordance with GRI Universal Standards
Materiality ana	lysis in sustainability reporting
External assura	ance or verification of sustainability reporting
Company comr	nunicates progress towards the Sustainable Development Goals (SDGs)
Publication of C	Conflict Minerals Reporting Template (e.g. CMRT, other type of template)
Standard repor	ting on sustainable procurement issues
Improvement	Areas
Actions	
Priority	Improvement Areas
Medium	Supporting documents show a medium level of coverage of sustainable procurement actions throughout company operations or supplier base
Low	Declares a percentage of suppliers for which conflict minerals information is available (e.g. CMRT) but no supporting documentation
Results	
Priority	Improvement Areas
Medium	The 360° Watch has identified at least one significant controversy, fine or penalty regarding sustainable procurement in the last five years (see news with red downward arrow in the 360° Watch section).
Medium	External sustainability audit from a site of Group: presence of major or/and critical non-compliance on sustainable procurement

### 360° Watch Findings

Umicore is expanding its range of fully recycled

precious and platinum group metals. Under the

brand name "Nexyclus", the Belgian metallurgy

recycled metals". These recycled non-ferrous

metals all come from reliable suppliers that comply with Umicore's responsible sourcing

framework, the company said.



Corporate Knights' Global 100 ranking of the world's most sustainable companies reveals the top firms that are increasing their investments in green solutions such as renewable energy, group will in future offer "100 percent high-quality energy efficiency and the circular economy. UMICORE SA is ranked 47 on the list.

UMICORE SPECIALTY POWDERS FRANCE a réçu un résultat de 93 de 100 de l'index égalité professionnelle entre les femmes et les hommes pour 2024.

1 Jan 2024 | https://www.top-employers....

Impact on Score Neutral -> valid from 1 Jan 2024 to 1 Feb 2029

Impacted themes

### Top Employer Institute Belgium 2024 [NL]

Umicore is certified as a top employer in Belgium.

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1 Jan 2024 | https://www.there100.org/r...

Impact on Score

valid from 1 Jan 2024 to 1 Feb 2029

Over 400 RE100 companies have made a

has been an RE100 member since 2023.

commitment to go '100% renewable'.Umicore

Impacted themes

**RE100** Members

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Expired

Impact on Score

Impacted themes

Neutral  $\rightarrow$ 

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#### Deadly Commodity: Children in the Cobalt Mines in Congo

30 Oct 2023 | https://www.nuorigins.com/...

valid from 1 Dec 2018 to 1 Jan 2024

Last year International Rights Advocates (IRA), an NPO that defends victims against human rights abuses committed by multinational companies, filed suit in the U.S. against some of the largest tech companies in the world including Apple, Google, Microsoft, Dell, and Tesla. The suit was filed on behalf of the families of child victims hurt or killed working in the cobalt mines and alleges that these companies, and many others who use batteries with cobalt sourced from the DRC, were both aware and complicit in the use of child labor to extract the mineral. The evidence which formed the basis for the lawsuit was part of an independent research study conducted by Executive Director of the DRC-based NGO Alternative Plus and Harvard academic and antislavery economist, designed to examine the use of child labor in the Congolese-based mining industry. The results not only confirmed the widespread use of child workers in cobalt mines, some as young as 7 years old, but the study uncovered evidence that lithium battery production companies, such as Umicore, as well as the tech companies that purchase those batteries, were both aware and complicit in the use of child labor to source the necessary cobalt.

31 Aug 2023 | https://www.droits-salarie...

### Impact on Score Neutral → valid from 1 Apr 2022 to 1 May 2027

Impacted themes

#### Accords d'entreprise chez Umicore Autocat France

Les négociations entre la direction de UMICORE AUTOCAT FRANCE et les instances représentatives du personnel ont abouti sur les accords et avenants suivants. Les résultats des négociations avec les partenaires sociaux chez UMICORE AUTOCAT FRANCE précisent les droits, avantages et obligations de l'employeur et des salariés. 19 Aug 2023 | https://www.hln.be/binnenl...

Impact on Score

Neutral  $\rightarrow$ 

valid from 1 Jun 2024 to 1 Jul 2029

Impacted themes

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#### Fire at smelting furnace at Umicore in Hoboken [NL]

A fire broke out in a smelting furnace at the site of materials technology company Umicore in Hoboken on Friday evening. This is what the Antwerp Fire Department Zone says. It would not be a raging fire. 30 May 2023 | https://www.droits-salarie...

Impact on Score

Neutral 
valid from 1 Mar 2022 to 1 Apr 2027

Impacted themes

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#### Accords d'entreprise chez Umicore Specialty Powders France

Les négociations entre la direction de UMICORE SPECIALTY POWDERS FRANCE et les instances représentatives du personnel ont abouti sur les accords et avenants suivants. Les résultats des négociations avec les partenaires sociaux chez UMICORE SPECIALTY POWDERS FRANCE précisent les droits, avantages et obligations de l'employeur et des salariés.

20 Apr 2023   https://www.ft.com/climate	24 Mar 2023   https://v	023   https://www.brusselstimes 1 Mar 2023   https://friendsoftheear			
Impact on Score Neutral  valid from 1 Dec 2023 to 1 Jan 2029	Impact on Score Negative J valid from 1 Mar 2023 to 1 Apr 2028	Severity Major • • •	Impact on Score <b>Neutral</b> → valid from 1 Mar 2023 to 1 Ap	r 2028	
Impacted themes	Impacted themes	ίĵ	Impacted themes	P	
<b>Europe's Climate Leaders 2023</b> The second edition of the Financial Times-Statista	Man dies from injuries		EU: NGO report evidences how business groups are allegedly		
Intersection edition of the Financial Times-Statista list of Europe's Climate Leaders appears at a time when companies are being pushed to raise their game even further on climate change. This year's FT-Statista Climate Leaders list attempts to reflect the tougher environment by tightening the rules for the biggest greenhouse gas emitters. Umicore was on the list of the Europe's Climate Leaders for the year 2023.	explosion at treatment A man has died after suf injuries when a tanker tr ago at a treatment plant year-old independent su became seriously injured	fering life-threatening uck exploded two weeks near Antwerp. The 28- bcontractor, Axel Cap, d at the Umicore plant in March while undertaking dent occurred while he vater purification plant	EU Critical Raw Materials Act In March 2023, the European Commission published its proposal for a Regulation on Critical Raw Materials ('CRMA'). The new report "Mining the depths of influence" published by Friends of the Earth Europe evidences how companies and business groups associated to the transition minerals mining and metal extraction and use are forging the Act. UMICORE is cited on the report.		
17 Feb 2023   https://www.ecomagazine.co	1 Dec 2022   https://ww	ww.insblue.com.cn	26 Sep 2022   https://obserwa	torlogistyc	
Impact on Score Neutral	Impact on Score Negative	Severity Minor •••	Impact on Score Neutral		
valid from 1 Jun 2024 to 1 Jul 2029	valid from 1 Nov 2022 to 1 Dec		valid from 1 Sep 2022 to 1 Oct	.2027	
Impacted themes	2027		Impacted themes	Ø	
Umicore Signs Renewable Electricity PPA With ENGIE Umicore has signed two separate long-term power purchase agreements (PPAs), with ENGIE and Axpo to source renewable electricity from offshore and onshore wind turbines in Belgium. These agreements will cover more than half of the electricity demand from Umicore's Belgian sites and help the Group achieve its objective to be carbon neutral by 2035.	Impacted themes In 2022, Umicore Cataly cited in the Insblue Soc records [CN] On 2022-11-25, the comp 4,000 by Tianjin Customs customs law - False decla goods affects the collect	pany was fined RMB s due to violation of aration of imported	A huge battery factory was opened in Nysa. The plant is powered by green energy [PL] Umicore opened Europe's first GigaFactory of battery materials. The investment was carried out near Nysa. The neutral production facility, located on an area of 90 hectares, will produce cathodic active materials for electric vehicles. The annual production capacity of the center in Radzikowice is to reach 20 GWh by the end of next year and 40 GWh in 2024. As reported, the potential for growth is estimated at as much as 200 GWh or 3 million electric vehicles in the second half of the decade. It is also a step towards an internal battery ecosystem that is both sustainable and globally competitive.		
16 Sep 2022   https://www.power-technolo	13 Jul 2022   https://w	ww.mining.com/web	12 Jul 2022   https://www.mrc	hub.com/new	
Impact on Score Neutral	Impact on Score Neutral		Impact on Score Neutral		
valid from 1 Sep 2022 to 1 Oct 2027	valid from 1 Jul 2022 t	o 1 Aug 2027	valid from 1 Jul 2022 to 1 Aug	2027	
Impacted themes	Impacted themes	Ø	Impacted themes	Ø	
Statkraft signs ten-year renewable PPA with Umicore in Finland	Umicore plans \$1.2 bill plant in Ontario	ion battery materials	Umicore to build large-scale fu plant in China	el cell catalyst	
Norwegian state-owned energy company Statkraft has signed a power purchase agreement (PPA) with Umicore, a materials technology company based in Belgium.	that would supply parts t vehicles by 2030. Constri facility, planned to be ne create hundreds of perm	attery materials anada's Ontario province o one million electric uction of Umicore's	Umicore will invest in building a l cell catalyst plant in Changshu in the fast emerging growth in fuel The plant will enable the accelera transformation to hydrogen-base serving demand through to 2030 facility is planned and prepared t neutral from the start and will co reducing scope 3 emissions in the	China to capture cell technology. ated ed clean mobility, The greenfield to be carbon ntribute to	

22 Jun 2022   https://www.recyclingtoday	11 Feb 2022   https://www.recyclingtoday	11 Feb 2022   https://www.hln.be/olen/br	
Impact on Score	Impact on Score	Impact on Score	
Neutral $ ightarrow$	Neutral $ ightarrow$	Neutral $\rightarrow$	
valid from 1 Jun 2022 to 1 Jul 2027	valid from 1 Feb 2022 to 1 Mar 2027	valid from 1 Feb 2022 to 1 Mar 2027	
Impacted themes	Impacted themes	Impacted themes 💭 礎	
Umicore retains recycling as key activity Belgium-based metals and recycling company Umicore is hinting at further investments in recycling as part of its "Umicore 2030 – RISE" strategic plan, which it is fully unveiling this week at its Capital Markets Day. Referring to itself as "a frontrunner in battery recycling" in part because of its pyro-hydro technology, Umicore says its Battery Recycling Solutions business "will be scaled up significantly in Europe, while an expansion in North America is being analyzed."	Umicore boosts battery recycling tech Belgium-based Umicore says it has signed an agreement with Automotive Cells Co. (ACC) to provide battery recycling technology at an ACC pilot plant in Nersac, France. Umicore describes itself as a pioneer in the recycling of rechargeable batteries, saying its battery recycling smelter in Hoboken, Belgium, has an annual capacity of 7,000 tons of lithium-ion batteries and battery production scrap, the equivalent of 35,000 electric vehicle (EV) batteries.	Fire in cobalt furnace at chemical company Umicore: fire under control [NL] A fire broke out around 6:30 p.m. on Friday in a cobalt furnace at Umicore in Olen, a chemical company specializing in materials technology and recycling. The fire brigade arrived en masse and had the fire under control after half an hour. There were no injuries.	
9 Dec 2021   https://www.lalibre.be/der	6 Dec 2021   https://www.vrt.be/vrtnws/	21 May 2021   https://www.euronews.com/2	
Impact on Score	Impact on Score Severity	Impact on Score	
Under watch <sub>©</sub>	Negative <b>V</b> Minor ••••	Neutral →	
valid from 1 Dec 2021 to 1 Jan 2027	valid from 1 Dec 2021 to 1 Jan 2027	valid from 1 May 2021 to 1 Jun 2026	
Impacted themes	2021 to 1 Jan 2027	Impacted themes	
	Impacted themes		
Une ONG classe Umicore et Solvay parmi les moins bons élèves en matière de durabilité Chaque année, l'ONG suédoise ChemSec, spécialisée dans les substances chimiques dangereuses, publie un classement des 50 plus grandes entreprises chimiques mondiales sur base de critères de durabilité. Dans le dernier en date, publié jeudi, Umicore et Solvay figurent parmi les moins bons élèves.	Umicore in Hoboken to accept stricter lead-in- blood standards [NL] The metal processing company Umicore in Hoboken must nevertheless apply stricter emission standards for lead. The province of Antwerp had imposed these stricter standards on them this year because there is still too much lead in the blood of children who live near the factory. But Umicore had appealed to the Flemish Minister for the Environment Demir (N-VA). And Umicore has now proved it wrong. Umicore is therefore obliged to invest extra in order to reduce lead emissions.	Glencore, Umicore to trace battery cobalt with blockchain technology Mining and trading company Glencore and other miners are joining forces with battery material supplier Umicore to make the cobalt used in electric cars traceable using blockchain technology.	
18 Mar 2021   https://www.reuters.com/ar	12 Mar 2021   https://www.vrt.be/vrtnws/	2 Dec 2020   https://www.midilibre.fr/2	
Impact on Score	Impact on Score	Impact on Score	
Under watch $\odot$	Under watch	Neutral $\rightarrow$	
valid from 1 Mar 2021 to 1 Apr 2026	valid from 1 Mar 2021 to 1 Apr 2026	valid from 1 Dec 2020 to 1 Jan 2026	
Impacted themes	Impacted themes	Impacted themes	
<b>RPT-Umicore boss pledges 'green buffer zone'</b> <b>near Antwerp plant</b> The head of Belgian battery maker Umicore said on Wednesday it would create a buffer zone near its Hoboken plant on the outskirts of Antwerp, after blood readings last year showed high levels of lead in children living nearby.	"She would be a very different child if we didn't live here": "Pano" shows consequences of lead emissions at Umicore Hoboken [NL] The environmental permit of the metal processing company Umicore in Hoboken is based on twenty-year-old - outdated - scientific insights about the risks of lead in blood. This is apparent from a study that our report magazine "Pano" conducted together with Knack. In addition to lead, the emission of the carcinogenic substances arsenic and cadmium is problematic. The government announces a study into the influence of heavy metals on the inhabitants.	Pollution aux métaux lourds dans le Gard : l'avenir de l'après-mine passe par le tribunal Une démarche afin de mettre en œuvre les gestions des résidus de laverie issus de l'exploitation minière par la société Umicore. Une contestation motivée pour Michel Sala, maire de Saint-Félix-de-Pallières, par le fait "que l'État ne doit pas se substituer mais doit prendre la responsabilité de l'entièreté de la question de la pollution.	

0 Sep 2020   https://v	vww.vrt.be/vrtnws/	29 Sep 2020   http://www.vrt.bo	e/vrtnws/n	17 Sep 2020   http://www	.demorgen.be/nie
Impact on Score		Impact on Score		Impact on Score	
Neutral $ ightarrow$		Under watch <sub>@</sub>		Under watch <sub>@</sub>	
valid from 1 Sep 2020	to 1 Oct 2025	valid from 1 Sep 2020 to 1 Oct 2	2025	valid from 1 Jul 2020 to 1	Aug 2025
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Impacted themes	Ŷ	Impacted themes	ί̈́Ţ̈́	Impacted themes	Ø
Imicore partially reloc n Olen, union: "A bom ot see this coming" [l		Elevated blood lead levels in Ur employees. PVDA: "Forcing a co produce cleanly" [NL]		Another spike in pollution factory [NL]	
f its cobalt business to l Imicore acquired the Ko which it says is one of th	3	Elevated lead levels have been for of two subcontractors of the meta company Umicore in Hoboken. Mi opposition party PVDA demands t company disclose the blood value employees.	l processing ie Branders of hat the	Very high amounts of heavy recorded at the beginning o area around the Umicore pl is the second highest measu past three years.	f the summer in the ant in Hoboken. This
4 Jul 2020   https://w	ww.recycling-maga	11 Jun 2020   https://naccar.com	n.br/2020	29 May 2020   https://twe	akers.net/nieuw
Impact on Score		Impact on Score		Impact on Score	
Neutral $ ightarrow$		Neutral $\rightarrow$		Neutral $\rightarrow$	
valid from 1 Jul 2019 t	o 1 Aug 2024	valid from 1 Jun 2020 to 1 Jul 20	)25	valid from 1 May 2020 to	1 Jun 2025
Impacted themes	ŝ	Impacted themes	Ø	Impacted themes	67
eripheral installation Imicore's Hoboken pla lo casualties are report xtinguished the fire. Th urrently shut down whil emains in operation.	<b>ant</b> ed. The fire brigade e impacted installation is	combating pollution Vehicles are responsible for a sign air pollution, with automobiles ger of toxic gas emissions, according Institute of Energy and Environme it is essential to focus on solutions these gases.	nerating 72.6% to a study by the ent (IEMA). Thus,	company Glencore to supp The Belgian materials techr has entered into an agreem mining group Glencore for t This mineral, still an importa comes from Congo-Kinshas the deal.	nology group Umicore ient with the Swiss the supply of cobalt. ant part of batteries,
1 May 2020   https://v	www.teda.gov.cn/bm	6 Apr 2020   https://ipisresearc	h.be/we	23 Jan 2020   http://mode	erndiplomacy.eu/
Impact on Score	Severity	Impact on Score		Impact on Score	
Negative 🖌	Minor •	Neutral ->		Neutral ->	
valid from 1 Apr		valid from 1 Jan 2020 to 1 Feb 2	2025	valid from 1 Jan 2020 to 1	Feb 2025
2020 to 1 May 2025		Impacted themes	Ð	Impacted themes	ৰুৰৈ দ্বিন্ট 🖓
Impacted themes	ŝ	Ipis Briefing March 2020 - Coba Over Child Labour In Artisanal Not Overshadow The Corruptio	Mining Should	42 Global Organizations A Principles for Batteries to Energy Transition	5
Jo. 1 (CN) On April 17, 2020, Umico td. was fined RMB 10,00 cone Emergency Manag iolation of Safe Product ombustible gas detectio ylinder room on the we wulding has not been re	ropriate Condition rgency Penalty [2020] ore Catalyst (China) Co., 00 by Nangang Industrial gement Bureau due to ion Law - The on alarm in the gas	Scale Mining In January 2020, The NGO Interna Advocates went a step further by tech companies Apple, Alphabet ( Microsoft and Tesla for knowingly and aiding and abetting the crue l of young children in DRC to mine of concessions of Kamoto Copper Co KCC is owned by the Swiss Glenco world's largest commodity produc Glencore also provides cobalt to U supplier of these high-tech compa	tional Rights suing the high- Google), Dell, benefiting from and brutal use cobalt on ompany (KCC). rre company, the cer and trader. Jmicore, the	Batteries will be a major dri carbon footprint of the trans sectors through the use of e renewable energy. To help o governments, the Global Ba designed 10 guiding princip a sustainable battery chain Organizations supporting th battery value chain that med include Umicore.	sport and power electric vehicles and companies and littery Alliance les for the creation o by 2030. he realization of a

18 Dec 2019 | https://www.national-geogr...



# Death of children in the cobalt mines. The defendants are Apple, Google Dell and Tesla [PL]

As many as fourteen children died or were seriously injured in Congolese cobalt mines, the raw material of which went to Apple, Google, Dell and Tesla equipment. The case resulted in a lawsuit for companies filed by International Rights Advocates on behalf of the injured children and their families.

1	7 Dec 2019   http://www.electrive.net/2
	Impact on Score
	Neutral $ ightarrow$
	valid from 1 Dec 2019 to 1 Jan 2025

Impacted themes

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# Audi and Umicore use recycled cobalt and nickel [DE]

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Audi and Umicore have completed the test phase of their research cooperation. According to this, more than 90 percent of the cobalt and nickel can be recycled from the e-tron batteries. From January, the recycled materials will then be installed in new battery cells. 9 Dec 2019 | http://www.euronews.com/20...

Impact on Score Neutral → valid from 1 Dec 2019 to 1 Jan 2025 Impacted themes

# Fire at Umicore's Hoboken plant in Belgium under control

Belgian materials and recycling group Umicore said a fire that broke out on Wednesday at its Hoboken plant is under control. The 30 employees working at the affected part of the site, which is currently shut, were evacuated and nobody was hurt.

2 Dec 2019   https://ww	vw.insblue.com.cn
Impact on Score Negative	Severity Minor 🔸 🍽 🛑
valid from 1 Dec 2019 to 1 Jan 2025	
Impacted themes	ହୀୁତ

### In 2019, Umicore Metal Trading (Shanghai) Co Ltd Guangzhou Branch was cited in the Insblue Social Responsibility records [CN]

On 02/12/2019, Umicore Metal Trading (Shanghai) Co Ltd Guangzhou Branch was Fined RMB 2,000 by the Guangzhou Yuexiu District Taxation Bureau, No. 1 Tax Office of the State Administration of Taxation due to violation of The People's Republic of China Tax Collection and Administration Law - Stamp duty declaration overdue for 565 days.

5 Nov 2019   https://unicourt.com/ca	se/
Impact on Score <b>Under watch </b> valid from 1 Nov 2019 to 1 Dec 2024	
Impacted themes	ίĵĵ

# Richey v. Umicore Precious Metals Chemistry USA, LLC

On 11/25/2019 Richey filed a Civil Right -Employment Discrimination lawsuit against Umicore Precious Metals Chemistry USA, LLC. This case was filed in U.S. District Courts, Oklahoma Northern District. The Judges overseeing this case are John E Dowdell and Jodi F Jayne. The case status is Pending - Other Pending.

7 Nov 2019	https://	/www.osha.gov	/pls/i

Impact on Score Neutral →

valid from 1 Nov 2019 to 1 Dec 2024

Impacted themes

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### OSHA Penalty for serious H& S Standard Violation

In November 2019, Umicore Specialty Materials Recycling, Llc's facility in Wickliffe, OH, was fined USD 6,087 for one serious violation of U.S. OSHA health and safety standards.

19 Oct 2019	http:/	/www.industria	all-uni.

Impact on Score Neutral → valid from 1 Oct 2019 to 1 Nov 2024

Impacted themes



### Umicore and IndustriALL renew Global Framework Agreement

In a signing ceremony at the ILO in Geneva, Umicore and IndustriALL Global Union renewed their Global Framework Agreement on Sustainable Development for another four-year period. The renewed agreement covers human rights, including collective bargaining and equal opportunities, with a new section on violence and harassment at work with a reference to the newly adopted ILO Convention 190, safe and healthy working conditions and environmental issues.

Oct 2019   https://www.lecho.be/e	ntre
Impact on Score <b>Negative </b> valid from 1 Jul 1970 to 1 Aug 1975	
Impacted themes	Ø

Expired

### Umicore accusé de pollution dans le Gard

Le géant minier gérait entre 1914 et 1971 plusieurs mines dans le sud de la France. Aujourd'hui à l'arrêt, ces mines continuent de polluer les sols, les eaux, les végétaux avec des répercussions sur la santé des riverains. Les autorités attaquent, Umicore se défend.

### Expired

12 Mar 2019 | http://www.republicain-lor...

Impact on Score Neutral → valid from 1 Mar 2019 to 1 Apr 2024

Impacted themes

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### Umicore à Florange : une grève ce vendredi

Les syndicats FO et CFE-CGC ont déposé un préavis de grève pour vendredi, sur le site de l'équipementier belge Umicore à Florange. L'objet de la grogne ? La dégradation des conditions de travail.



### Specific comments

No records found in third party risk and compliance database.
The company demonstrates an advanced management system on environmental issues.
The company demonstrates an advanced management system on labor & human rights issues.
Since the last assessment, the overall score has decreased due to new controversies or condemnations (see 360° watch findings).

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