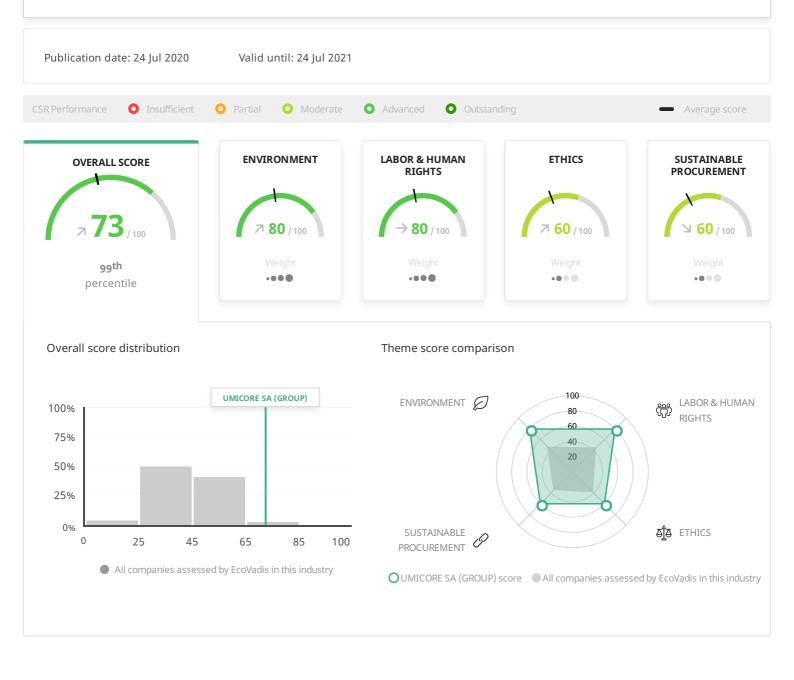
# **UMICORE SA (GROUP)**

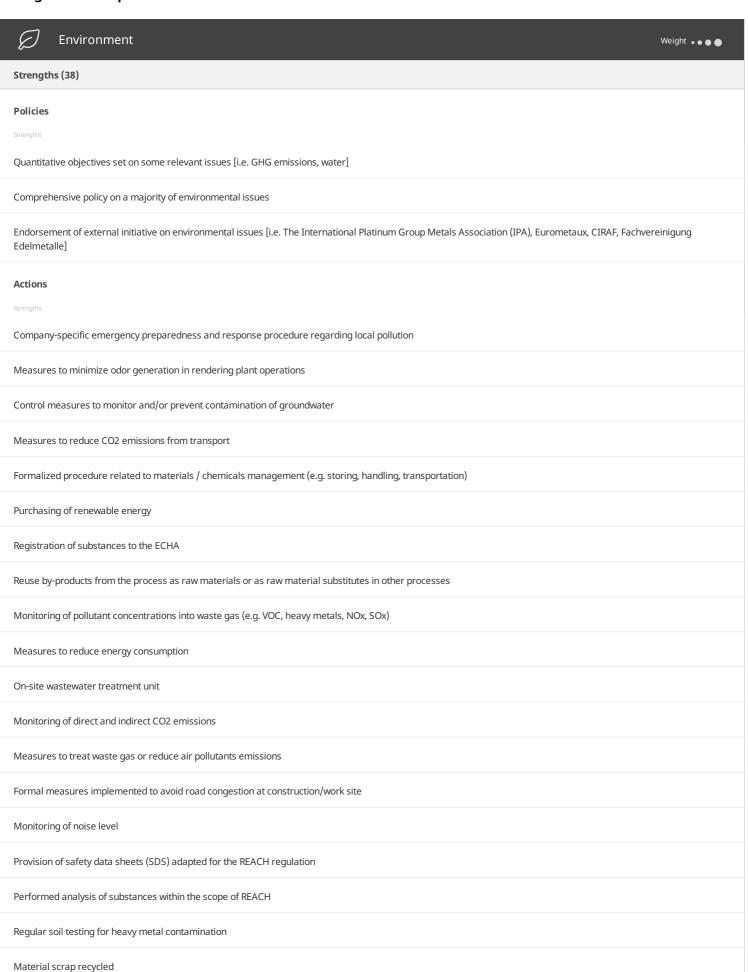
Brussels - Belgium | Manufacture of basic precious and other non-ferrous metals







### **Strengths and Improvement Areas**



Closed-loop water cooling system in place Measures to reuse or recycle waste Measures to avoid emissions of dust or particles Measures implemented to reduce pollutants discharged into water ISO 14001 certified on more than 81% of operational sites Results Reporting on total water consumption Reporting on total weight of non-hazardous waste Reporting on total weight of hazardous waste Reporting on total gross Scope 1 and 2 GHG emissions Materiality analysis in sustainability reporting External assurance of sustainability reporting Company communicates progress towards the Sustainable Development Goals (SDGs) Company reports to CDP Reporting on total energy consumption Comprehensive reporting on environmental issues CSR report follows GRI guidelines [e.g. ''GRI Standards "In accordance - Core''] Improvement Areas (1) Results Our 360° screening has identified at least one significant controversy, fine or penalty regarding environmental issues in the last five years (see news flagged with red warning sign in the 360° section) [IPE fines for Chinese sites in 2017, 2018] ۯٛڹٛ Labor & Human Rights Weight • • • • Strengths (47) **Policies** Quantitative objectives set on some relevant issues [i.e. employee health & safety] Health and safety policy also covers subcontractors working on the company premises Endorsement of external initiative on labor or human rights issues [i.e. The International Platinum Group Metals Association (IPA), CIRAF, Fachvereinigung Edelmetalle]

Exceptional policies on major labor and human rights issues

Actions
Strengths
Measures to promote gender and/or minority inclusion in the workplace
Remuneration process (e.g. salary grid, procedure for salary advancement) communicated to employees
Employee stock ownership plan (not restricted to executive level)
Employee satisfaction survey
Bonus scheme related to company performance
Collective agreement on diversity, discrimination, and/or harassment
Collective agreement on training & career management
Collective agreement on working conditions
Collective agreement on employees' health & safety
Flexible organization of work available to employees (e.g. remote work, flexitime)
Health care coverage of employees in place
Risk assessments performed to identify operations exposed to potential child labor, forced labor and human trafficking
Whistleblower procedure on discrimination and harassment
Awareness training regarding diversity, discrimination, and/or harassment
Training on health and safety issues for subcontractors working on the company premises
Emergency preparedness plan to all impacted employees (e.g. fire drills)
41-60% of operational facilities ISO 45001/OHSAS 18001 certified
Employee representatives or employee representative body (e.g. works council)
Internal audits on health & safety issues
Measures for the integration of senior employees
Provision of protective equipment to all impacted employees
Employee health & safety detailed risk assessment
Transparent recruitment process communicated clearly and formally to all candidates
Measures implemented to prevent child labor, forced labor and human trafficking
Regular assessment (at least once a year) of individual performance
Interactive session with employees regarding working conditions
Active preventive measures for stress and noise

Mandatory health check-up for employees
Active preventive measures for stress
Official measures promoting career mobility
Provision of skills development training
Setting of individual career plan for all employees
European Works Council in place
Training of relevant employees on health & safety risks and best working practices
Results
Strengths
Reporting on training hours per employee
Materiality analysis in sustainability reporting
External assurance of sustainability reporting
Company communicates progress towards the Sustainable Development Goals (SDGs)
Reporting on the percentage of women in top executive positions
Comprehensive reporting on labor and human rights issues
Reporting on accident severity rate
Reporting on accident frequency rate
CSR report follows GRI guidelines [e.g. ''GRI Standards "In accordance - Core'']
Improvement Areas (1)
Results
Priority Improvement Areas
Our 360° screening has identified at least one significant controversy, fine or penalty regarding labor and human rights issues in the last five years (see news flagged with red warning sign in the 360° section) [i.e. U.S. OSHA fines for health and safety violations, unfair dismissal case in Belgium]
- <b>◇-</b>
Ðြို့မြဲ Ethics Weight • ● ●
Strengths (18)
Policies
Strengths
Disciplinary sanctions to deal with policy violations
Dedicated responsibility for ethics issues

 $Endorsement\ of\ external\ initiative\ on\ ethics\ issues\ [i.e\ The\ International\ Platinum\ Group\ Metals\ Association\ (IPA),\ Fachvereinigung\ Edelmetalle]$ 

Comprehensive policies on ethics issues

Actions
Strengths
Incident response procedure (IRP) to manage breaches of confidential information
Whistleblower procedure to report ethics issues
Awareness training on ethics issues
Implementation of a records retention schedule
Audits of control procedures to prevent information security breaches
Periodic corruption risk assessments performed
Audits of control procedures to prevent corruption
Specific approval procedure for sensitive transactions (e.g. gifts, travel)
Audits of control procedures to prevent anticompetitive practices
Results
Strengths
Materiality analysis in sustainability reporting
External assurance of sustainability reporting
Company communicates progress towards the Sustainable Development Goals (SDGs)
Standard reporting on ethics issues
CSR report follows GRI guidelines [e.g. ''GRI Standards "In accordance - Core'']
Improvement Areas (2)
Actions
Priority Improvement Areas
High Supporting documentation demonstrates a low level of coverage of ethics actions throughout the company operations
High No supporting documentation regarding third party due diligence on ethics issues
Sustainable Procurement
Strengths (21)
Su enguis (21)
Policies
Strengths
Endorsement of external initiative on sustainable procurement issues [i.e. CIRAF, Fachvereinigung Edelmetalle]
Endorsement of specific international or industry sector initiatives, set of principles, charters, frameworks on conflict minerals issues [i.e. The European Partnership for

Responsible Minerals (EPRM)]

The London Bullion Market Association (Responsible Gold)

Endorsement of the Responsible Minerals Initiative (RMI, formerly CFSI)
Policy on conflict minerals issues
Comprehensive sustainable procurement policies on both social and environmental factors
Actions Strengths
Supporting documentation demonstrates a high level of coverage of sustainable procurement actions throughout the company supplier base/operations
Supplier CSR code of conduct in place
Written communication sent to suppliers informing them of conflict minerals issues
Investigation or traceability system of the supply chain (smelters, trades, mines) on conflict minerals due diligence (e.g. third party on site audit in place)
Detailed conflict minerals risk analysis (per product or purchasing category) across the supply chain (e.g. manufactures)
Third party certification on conflict minerals [i.e. Responsible Gold Certificate]
On-site audits of suppliers on environmental or social issues
Regular supplier assessment (e.g. questionnaire) on environmental or social practices
Training of buyers on social and environmental issues within the supply chain
CSR risk analysis (i.e. prior to supplier assessments or audits)
Results
Strengths
Materiality analysis in sustainability reporting
External assurance of sustainability reporting
Company communicates progress towards the Sustainable Development Goals (SDGs)
Publication of Conflict Minerals Reporting Template (e.g. CMRT, other type of template)
Comprehensive reporting on sustainable procurement issues
Improvement Areas (4)
Actions
Priority Improvement Areas
No information on social or environmental clauses being included in supplier contracts
Low Declares capacity building of suppliers on CSR issues, but no supporting documentation available
Declares a percentage of suppliers for which conflict minerals information is available (e.g. CMRT) but no supporting documentation

#### Results

Priority

mprovement Are



Our 360° screening has identified at least one significant controversy, fine or penalty regarding supply chain issues in the last five years (see news flagged with red warning sign in the 360° section) [lawsuit allegations on procuring cobalt using child labor]

### 360° Watch Findings

24 July 2020

# Umicore has reported a fire today at a peripheral installation of the smelter at Umicore's Hoboken plant

https://www.recycling-magazine.com/2019/07/03/umicore-has-reported-a-fire-today-at-a-peripheral-installation-of-the-smelter-at-umicores-hoboken-plant-no-casualties-are-reported-the-

fire-brigade-extinguished-the-fire-the-impacted-insta/

No casualties are reported. The fire brigade extinguished the fire. The impacted installation is currently shut down while the rest of the plant remains in operation.



→ No score impact

11 June 2020

# Umicore explains the role of the catalyst in combating pollution

https://naccar.com.br/2020/06/11/umicore-explica-o-papel-do-catalisador-no-combate-a-poluicao/

Vehicles are responsible for a significant part of air pollution, with automobiles generating 72.6% of toxic gas emissions, according to a study by the Institute of Energy and Environment (IEMA). Thus, it is essential to focus on solutions to control these gases.



→ No score impact

29 May 2020

# Belgian Umicore signs deal with mining company Glencore to supply cobalt

https://tweakers.net/nieuws/153414/belgische-umicore-sluit-deal-met-mijnbouwbedrijf-glencore-voor-levering-kobalt.html

The Belgian materials technology group Umicore has entered into an agreement with the Swiss mining group Glencore for the supply of cobalt. This mineral, still an important part of batteries, comes from Congo-Kinshasa in accordance with the deal.

Sustainable Procurement

→ No score impact

6 April 2020

#### Ipis Briefing March 2020 - Cobalt: Concerns Over Child Labour In Artisanal Mining Should Not Overshadow The Corruption In Large Scale Mining

http://ipisresearch.be/publication/ipis-briefing-march-2020-cobalt-concerns-child-labour-artisanal-mining-notovershadow-corruption-large-scale-mining/

In January 2020, The NGO International Rights Advocates went a step further by suing the hightech companies Apple, Alphabet (Google), Dell, Microsoft and Tesla for knowingly benefiting from and aiding and abetting the cruel and brutal use of young children in DRC to mine cobalt on concessions of Kamoto Copper Company (KCC). KCC is owned by the Swiss Glencore company, the world's largest commodity producer and trader. Glencore also provides cobalt to Umicore, the supplier of these high-tech companies.



→ No score impact

2 March 2020

#### Heavy fire in Umicore's warehouse in Hoboken

http://www.mbs.news/a/2020/03/heavy-fire-in-umicores-warehouse-in-hoboken-fire-fighting-hoboken.html

In the Antwerp district of Hoboken, a fire broke out Monday evening in a warehouse of material technology company Umicore. That says the Antwerp Fire Department Zone, which is on site with large resources. There is a lot of smoke development. Local residents are asked to keep windows and doors closed.



→ No score impact

23 January 2020

### 42 Global Organizations Agree on Guiding Principles for Batteries to Power Sustainable Energy Transition

http://moderndiplomacy.eu/2020/01/23/42-global-organizations-agree-on-guiding-principles-for-batteries-to-power-sustainable-energy-transition/

Batteries will be a major driver in reducing the carbon footprint of the transport and power sectors through the use of electric vehicles and renewable energy. To help companies and governments, the Global Battery Alliance designed 10 guiding principles for the creation of a sustainable battery chain by 2030.

Organizations supporting the realization of a battery value chain that meets these principles include Umicore.



 $\rightarrow$  No score impact

18 December 2019

# Death of children in the cobalt mines. The defendants are Apple, Google Dell and Tesla [PL]

https://www.national-geographic.pl/artykul/smierc-dzieci-w-kopalniach-kobaltu-pozwy-dla-apple-google-delli-tesla?

As many as fourteen children died or were seriously injured in Congolese cobalt mines, the raw material of which went to Apple, Google, Dell and Tesla equipment. The case resulted in a lawsuit for companies filed by International Rights Advocates on behalf of the injured children and their families.



☑ Impacts score

17 December 2019

### Audi and Umicore use recycled cobalt and nickel [DE]

http://www.electrive.net/2019/12/17/audi-und-umicoreverwenden-recycletes-kobalt-und-nickel/

Audi and Umicore have completed the test phase of their research cooperation. According to this, more than 90 percent of the cobalt and nickel can be recycled from the e-tron batteries. From January, the recycled materials will then be installed in new battery cells.



→ No score impact

#### 9 December 2019

### Fire at Umicore's Hoboken plant in Belgium under control

http://www.euronews.com/2018/09/13/fire-at-umicores-hoboken-plant-in-belgium-under-control

Belgian materials and recycling group Umicore said a fire that broke out on Wednesday at its Hoboken plant is under control. The 30 employees working at the affected part of the site, which is currently shut, were evacuated and nobody was hurt.



→ No score impact

19 October 2019

# Umicore and IndustriALL renew Global Framework Agreement

http://www.industriall-union.org/umicore-and-industriall-renew-global-framework-agreement

In a signing ceremony at the ILO in Geneva, Umicore and IndustriALL Global Union renewed their Global Framework Agreement on Sustainable Development for another four-year period. The renewed agreement covers human rights, including collective bargaining and equal opportunities, with a new section on violence and harassment at work with a reference to the newly adopted ILO Convention 190, safe and healthy working conditions and environmental issues.



 $\rightarrow$  No score impact

4 October 2019

### Umicore accusé de pollution dans le Gard

https://www.lecho.be/entreprises/matierespremieres/umicore-accuse-de-pollution-dans-legard/10168666.html

Le géant minier gérait entre 1914 et 1971 plusieurs mines dans le sud de la France. Aujourd'hui à l'arrêt, ces mines continuent de polluer les sols, les eaux, les végétaux avec des répercussions sur la santé des riverains. Les autorités attaquent, Umicore se défend.



**∠** Impacts score

11 July 2019

# OSHA Penalty for Serious H&S Standard Violation(s)

http://www.osha.gov/pls/imis/establishment.inspection\_detailid=1443280.015

In July, 2019, Umicore Specialty Materials Recycling, Llc in Wickliffe, OH, was proposed a USD 6,087 penalty for a serious violation of U.S. OSHA health and safety standards.



☑ Impacts score

12 March 2019

#### Umicore à Florange : une grève ce vendredi

http://www.republicain-lorrain.fr/edition-de-thionville-hayange/2019/03/12/umicore-a-florange-une-greve-cevendredi

Les syndicats FO et CFE-CGC ont déposé un préavis de grève pour vendredi, sur le site de l'équipementier belge Umicore à Florange. L'objet de la grogne ? La dégradation des conditions de travail.



→ No score impact

1 February 2019

# Union of Metalworkers Obtain PLR of BRL 6,160.00 at Umicore [PT]

http://fsindical.org.br/forca/sindicato-dos-metalurgicos-obtem-plr-de-r-6-16000-na-umicore

The value was reached because the workers exceeded the goals of the Participation agreement. The second installment, which reaches R \$ 3,960.00, will be paid in February. The PLR will total R \$ 6,160.00.



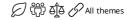
→ No score impact

25 January 2019

# Estas son las empresas más sustentables del mundo... y ninguna es mexicana

https://expansion.mx/empresas/2019/01/25/empresas-mas-sustentables-del-mundo-y-ninguna-mexicana

Las únicas compañías latinoamericanas entre las 100 compañías más sustentables del mundo son brasileñas, según el listado que elabora Corporate Knights.



 $\rightarrow$  No score impact

21 January 2019

#### Fire brigade at Umicore in Gmünd [DE]

https://www.schwaebische-post.de/p/1746372/

The fire brigade was at the Umicore company in Klarenbergstrasse on Monday around 17:15: a plastic basin used for electroplating, in which acid was stored, leaked. According to the police, this was presumably triggered by a short circuit in the plant.



→ No score impact

1 November 2018

### Audi, Umicore Develop Closed Loop Battery Recycling

https://www.waste360.com/e-waste/audi-umicore-develop-closed-loop-battery-recycling

The two companies are developing a closed loop for components of high-voltage batteries that can be reused.



→ No score impact

15 October 2018

### Umicore is going to recycle BMW's electric batteries [NL]

http://www.tijd.be/ondernemen/auto/umicore-gaat-batterijen-elektrische-bmw-s-recycleren/10059093.html

According to some environmental organizations, the electric car, which does not emit CO2, is less environmentally friendly than it appears to be, because the battery production process is polluting and the battery is difficult to re-use as residual waste. The German car manufacturer BMW wants to tackle this weakness now and closes a technological partnership with the Swedish battery manufacturer Northvolt and the Belgian materials and recycling group Umicore.



→ No score impact

10 October 2018

# Industrial accident victim's family files wrongful death suit in Marshall County

http://www.waff.com/2018/10/10/industrial-accident-victims-family-files-wrongful-death-suit-marshall-county/

The family of a construction worker who died in an industrial accident in Marshall County has now filed a wrongful death suit. The bottom line is they claim Umicore was negligent.



→ No score impact

14 September 2018

### Cobalt mining continue to be controversial for manufacturers

https://financeandmarkets.com/cobalt-mining-continue-to-be-controversial-for-manufacturers/

The US technology giant Apple and the German car maker Volkswagen AG, as well as another 20 world manufacturers, justified by the Amnesty International report two years ago. It states that some of the cobalt used by companies to produce batteries is mined in Congo, where the conditions are extremely heavy and child labor is exploited.



☑ Impacts score

12 September 2018

### Fire breaks out at Umicore's Hoboken plant in Belgium

http://news.trust.org//item/20180912074945-tusqy/

A fire broke out on Wednesday morning at the Hoboken plant of Belgian materials and recycling group Umicore a company spokeswoman said, confirming reports in the Belgian media. "The fire brigade is there and no people are hurt," said Marjolein Scheers. "The cause of the fire is still unknown."



→ No score impact

15 August 2018

### Fire at Umicore cleared quickly [DE]

http://www.op-online.de/region/hanau/feuer-umicoreindustriepark-wolfgang-hanau-rasch-geloescht-10122409.html

On Tuesday at Umicore in the Industrial Park Wolfgang around 21.50 clock, there was a fire in a production plant.Nobody was injured. Also, measurements showed that neighborhood and environment were not at risk. After only 30 minutes, the plant fire department of the Wolfgang Industrial Park had extinguished the fire. The forces of the Hanau fire brigade were on site for support. The authorities were informed immediately. According to Umicore, the cause of the fire was not yet clear.



→ No score impact

11 June 2018

#### IPE Supervision Records cites Ganzhou Yi Hao You Mei Ke Industry Co.,Ltd [CN]

http://wwwen.ipe.org.cn/IndustryRecord/regulatory-record.aspx?companyId=47606&dataType=0&isyh=0

On April 13, 2018, the environmental supervision and law enforcement personnel of the Shengzhou Environmental Protection Bureau carried out a regulatory inspection at Ganzhou Yi Hao You Mei Ke Industry Co.,Ltd. andsampling and testing of the company's 10 tons biomass burning boiler and 15 tons of fluidized boiler. The mean value of soot conversion (mg/m3) of the 10 ton biomass burning boiler was 141.8, exceeding the "Boiler Air Pollutant Emission Standard" (GB13271-2014). The company was issued a RMB 10,000 fine.



→ No score impact

18 May 2018

# Workers at Umicore spontaneously lay down the work [NL]

http://www.gva.be/cnt/dmf20180518\_03519577/arbeidersbij-umicore-leggen-spontaan-het-werk-neer

Dozens of workers at the recycling company Umicore in Hoboken have spontaneously put down work on Friday. They are tired of the high workload. The production was disrupted due to the spontaneous action.



→ No score impact

#### 2 May 2018

### Incendie à l'usine Umicore à Angleur: le plan communal d'urgence levé une fois la situation sous contrôle (photos)

http://www.sudinfo.be/id52015/article/2018-05-02/incendie-lusine-umicore-angleur-le-plan-communal-durgence-leve-une-fois-la

Un incendie s'est déclaré ce mercredi vers 21h40 au sein de l'usine Umicore, installée dans la rue Denis Lecocq, à Angleur. Les ouvriers ont tout d'abord tenté d'éteindre le feu avec leurs propres moyens, mais ont finalement dû faire appel aux pompiers de Liège. Le feu a pris dans une ligne de poudre de zinc, ce qui a empêché les hommes du feu d'utiliser les lances à incendie. Ils ont donc dû faire appel à un conteneur à poudre pour venir à bout du sinistre.



→ No score impact

### 25 April 2018

### Umicore Offers Smoking Staff Alternatives [NL]

http://www.gezondleven.be/nieuws/umicore-biedt-rokende-medewerkers-alternatieven

In the past, Umicore employees were able to take smoke breaks and smoke wherever they wanted. A bad thing for the productivity and costs in the company. The management took action and with this also committed to the health of its employees. The purpose of the action? Less smoke and smoke locations in the company. With success: now smoking can only be done at a fixed time in a smoking zone. Half of the smokers showed commitment to quit smoking and almost 1 in 3 stopped effectively. "Not so obvious", says Veerle Frederickx, company doctor Umicore Olen. "But with a correct approach, every company can do the same."



 $\rightarrow$  No score impact

#### 2 October 2017

# The only gold factory in the Netherlands closes its doors [NL]

https://www.parool.nl/amsterdam/enige-goudfabriek-van-nederland-sluit-deuren~a4519681/

The Netherlands loses its only gold factory. The production branch of Schöne Edelmetaal from Noord ends after 278 years.



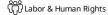
→ No score impact

#### 11 September 2017

### Umicore staff in shock [DE]

http://www.verlagshaus-jaumann.de/inhalt.rheinfeldenumicore-belegschaft-in-schockstarre.48e3c8b8-04da-46ba-97f7-bcb589673efa.html

The approximately 400 employees of the Rheinfelder site of the automotive supplier Umicore have been in shock for nearly a year now.Rheinfelden. "Since the decision in the fall of last year to close our Rheinfelden site by 2020, we are making very little progress with the social plan," reports Works Council Chairman Jörg Heinig. On the contrary: "The original intention that at least 120 employees can change to the location Bad Säckingen, Umicore has already cashed again. The Economic Committee informed us at the end of July that another 35 workers will lose their jobs at Umicore. "



→ No score impact

#### 31 August 2017

### OSHA Penalty for Serious H&S Standard Violation

http://www.osha.gov/pls/imis/establishment.inspection\_detailid=1260217.015

In August 2017, Umicore Specialty Materials Recycling Llc in Wickliffe, OH, was fined \$2,909 for one serious violation of U.S. OSHA health and safety standards.



☑ Impacts score

#### 24 August 2017

U.S. EPA Unilateral Administrative Order without Adjudication against Palm Commodities International, LLC

https://echo.epa.gov/enforcement-case-report?id=04-2017-9153

In August 2017, Palm Commodities International, LLC in Lavergne, TN, had pesticide denied entry in the U.S. for not complying with FIFRA 17C Importation regulations.



ightarrow No score impact

8 May 2017

# Umicore investit dans les batteries pour voitures électriques

http://deredactie.be/cm/vrtnieuws.francais/Economie/1.29727

L'entreprise belge spécialisée dans la technologie des matériaux avancés a annoncé ce lundi son intention d'investir 300 millions d'euros, entre 2017 et 2019, pour accroitre sa capacité de production en matériaux cathodiques pour les batteries rechargeables des voitures électriques et hybrides.



→ No score impact

26 April 2017

#### **BASF and Argonne Settle With Umicore**

http://www.chemanager-online.com/en/news-opinions/headlines/basf-and-argonne-settle-umicore

German chemical giant BASF and Chicago, USA-based Argonne National Laboratory have settled out of court their running patent disputes with Belgium's Umicore. The two companies said they had agreed to license the technology to the Belgian company to make, use, sell, offer to sell, distribute and import nickel-manganese-cobalt (NMC) cathode material for lithium-ion batteries in the US under their US patents. Action had been pending in the US District Court for the District of Delaware. The parties said, however, they planned to file a petition for rescission of the existing limited exclusion order with the International Trade Commission (ITC).



→ No score impact

1 March 2017

#### [IPE NEWS 1] 2017 IPE Supervision Records, Umicore marketing services (shanghai) co., Itd. [CN]

http://www.ipe.org.cn/IndustryRecord/regulatory-record.aspx?

companyId=11780696&dataType=0&isyh=0&showtype=0

In March, 2017, Umicore marketing services (shanghai) co., ltd. was fined 10,000 yuan by Yangshan Port Maritime Bureau for Prevention of Pollution from Ships Regulations of the marine environment.



☑ Impacts score

11 February 2017

# Umicore after new incident: "No danger to the neighborhood" [NL]

http://www.gva.be/cnt/dmf20170211\_02725662/umicore-na-nieuw-incident-geen-gevaar-voor-de-buurt

It has been observed that the level of lead emissions at the Hoboken refinery of Umicore has increased. This has negatively affected the health of several children near the refinery. The level of the emissions exceed the standards set.



→ No score impact

8 February 2017

#### ITC Finds Umicore In Violation Of Section 337 In Certain Lithium Metal Oxide Cathode Materials (337-TA-951)

http://www.itcblog.com/7689-itc-finds-umicore-inviolation-of-section-337-in-certain-lithium-metal-oxide-cathode-materials-337-ta-951

On January 26, 2017, the International Trade Commission ("Commission") issued its opinion finding a violation of Section 337 in Certain Lithium Metal Oxide Cathode Materials, Lithium-Ion Batteries for Power Tool Products Containing Same, and Power Tool Products with Lithium-Ion Batteries Containing Same (Inv. No. 337-TA-951).



→ No score impact

27 January 2017

# Pollution-Umicore poisons children's blood in Hoboken: "This is unacceptable" [NL]

http://www.demorgen.be/binnenland/vervuiling-umicorevergiftigt-bloed-kinderen-in-hoboken-dit-is-onanvaardbaar-b1f29b80/

In measurements of the lead-in-blood values in the children living around Umicore's lead refinery in Hoboken, it has been established that these values have been exceeded by at least fifteen children. One of them, a girl of two, even has seven times more lead in her blood than what is considered safe. "That is very worrying in the long term," warned toxicologist Jan Tytgat.



→ No score impact

12 December 2016

#### IPE Supervision Records cites Umicore Technical Materials (Suzhou) Co., Ltd [CN]

http://wwwen.ipe.org.cn/IndustryRecord/regulatory-record.aspx?companyId=773533&dataType=0&isyh=0

In December 2016, the Jiangsu Province Environmental Protection Bureau cited Umicore Technical Materials (Suzhou) Co., Ltd for irregularities in construction permits.



☑ Impacts score

5 December 2016

### IPE Supervision Records cites Jiangmen Umicore Changxin New Material Co., Ltd [CN]

http://wwwen.ipe.org.cn/IndustryRecord/regulatory-record.aspx?companyId=792618&dataType=0&isyh=0

In December 2016, the Jiangsu Province Environmental Protection Bureau cited Jiangmen Umicore Changxin New Material Co., Ltd for irregularities in construction permits.



☑ Impacts score

27 September 2016

#### Umicore va supprimer 190 emplois en Allemagne

https://www.rtbf.be/info/economie/detail\_umicore-vasupprimer-190-emplois-en-allemagne?id=9415362

L'entreprise métallurgique belge Umicore va supprimer quelque 190 emplois en Allemagne au cours des trois prochaines années. Deux usines situées à 15 kilomètres de distance vont fusionner, indique le groupe mardi. Seule une partie des 300 travailleurs du site de Rheinfelden sera réaffectée dans la seconde usine. Au total, 190 emplois vont disparaître.



ightarrow No score impact

23 June 2016

L'Autorité de la concurrence sanctionne UMICORE, l'un des leaders mondiaux du zinc, à hauteur de 69 millions d'euros

https://www.autoritedelaconcurrence.fr/fr/communiquesde-presse/lautorite-de-la-concurrence-sanctionneumicore-lun-des-leaders-mondiaux-du

L'Autorité de la concurrence sanctionne UMICORE, l'un des leaders mondiaux du zinc, à hauteur de 69 millions d'euros, pour avoir abusé de sa position dominante envers ses concurrents en mettant en place pendant 9 ans une politique commerciale visant à contraindre ses distributeurs à s'approvisionner exclusivement auprès de lui.



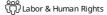
→ No score impact

10 February 2016

#### Umicore again named best employer [DE]

http://www.recyclingmagazin.de/2016/02/10/umicoreist-zum-wiederholten-mal-zum-top-arbeitgeber-inbelgien-frankreich-und-deutschland-ausgezeichnetworden-die-auszeichnung-wird-vom-top-employersinstitute-verliehen/

Umicore has once again been named Top Employer in Belgium, France and Germany. The award is presented by the Top Employers



→ No score impact

18 January 2016

#### Licenciement abusif d'une employée d'Umicore à Angleur

http://www.lavenir.net/cnt/dmf20160118\_00765620/licencieme abusif-d-une-employee-d-umicore-a-angleur

Le tribunal du travail de Liège a jugé abusif le licenciement pour faute grave d'une employée d'Umicore. Plusieurs mouvements de grève avaient eu lieu au sein de la société basée à Analeur.



☑ Impacts score

14 July 2015

#### **OSHA Penalty for Serious H&S Standard Violations**

https://www.osha.gov/pls/imis/establishment.inspection\_detail id=1078020.015

In July 2015, Umicore's facility in Wickliffe, OH, was fined \$14,700 for three serious violations of U.S. OSHA health and safety standards.



☑ Impacts score

8 May 2020

#### No records found for this company on **Compliance Database**

→ No score impact

### **Specific comments**

The company is not included in any compliance-related watch lists or sanction lists.	
Some supporting documents were considered too outdated to be included in this assessment.	
Some policy document(s) were discarded because eligibility requirements were not met (e.g. no company name/logo, no issue date or revision date, outdated, etc).	
The company has published advanced reporting on CSR issues.	
Since the last assessment, the overall score has increased thanks to the implementation of additional policies.	

You are receiving this score/medal based on the disclosed information and news resources available to EcoVadis at the time of assessment. Should any information or circumstances change materially during the period of the scorecard/medal validity, EcoVadis reserves the right to place the business' scorecard/medal on hold and, if considered appropriate, to re-assess and possibly issue a revised scorecard/medal.

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